

## FORM I

[See rule 4(iii)]

FORM FOR FILING RETURNS OF SALE OF NEW BATTERIES AND  
COLLECTION OF USED BATTERIES[To be submitted by <sup>1</sup>[manufacturer/importer/bulk consumer] by 30th June (for the period October-March) and 31st December (for the period April-September) every year]

1.	<sup>2</sup> [Name and address of the manufacturer /importer/bulk consumer]	
2.	Name of the authorised person and complete address with telephone and fax numbers	
3.	<p>Total number of new batteries sold <sup>3</sup>[importers or consumers] during the period October-March/April-September in respect of the following categories:—</p> <p><i>Category</i></p> <p>(i) Automotive (a) four Wheeler (b) two Wheeler</p> <p>(ii) Industrial (a) UPS (b) Motive power (c) Stand-by</p> <p>(iii) Others (inverters, etc.)</p> <p><i>Number of batteries sold to</i></p> <p>(i) dealers (ii) bulk consumers (iii) OEM (iv) Any other party for replacement should be indicated separately</p>	(i) No. of Batteries (ii) Approximate weight (in Metric Tonnes)
4.	Name and full address of the designated collection centres	
5.	Total number of used batteries of different categories as at Sl. No. 3 collected and sent to the registered recyclers*	

\* Enclose the list of recyclers to whom batteries have been sent for recycling.

Date.....

Place.....

Signature of the Authorised Person

1. Subs. by S.O. 1002(E), dated 4th May, 2010, for "manufacturer/assembler/reconditioner" (w.e.f. 4-5-2010).
2. Subs. by S.O. 1002(E), dated 4th May, 2010, for "Name and address of the manufacturer/assembler/reconditioner" (w.e.f. 4-5-2010).
3. Ins. by S.O. 1002(E), dated 4th May, 2010 (w.e.f. 4-5-2010).

**FORM II**

[See rules 5 and 6(ii)]

**FORM FOR REGISTRATION OF IMPORTER OF NEW LEAD ACID BATTERIES/PRIMARY LEAD***{To be submitted in triplicate to the Ministry of Environment and Forests}*

1.	Name and address of the importer	
2.	Import/Export Licence No.	
3.	Name of person/owner/occupier as the case may be	

Date.....

Signature of the Importer

Place.....

**FORM III**

[See rule 6(iii)]

*(To be submitted by importer of new lead acid batteries)***UNDERTAKING**

To

The Member Secretary,  
State Pollution Control Board

1. I.....of M/s ..... hereby submit that I am in the process of importing ..... (MT) of new lead acid batteries.

2. I undertake that I shall collect back the used batteries as per the schedule prescribed by the Government from time to time in lieu of the new batteries imported and sold, and shall send these only to the registered recyclers. I further undertake that I shall submit half-yearly returns as per item (iii) of rule 6 to the State Board and abide by their directions, if any.

Date.....

Signature of the Importer

Place.....

Copy to: The concerned Customs Authority

**<sup>1</sup>FORM IV**

[See rules 4 and 7(vii)]

**FORM FOR REGISTRATION OF DEALERS***{To be submitted by dealers to the State Pollution Control Boards/Pollution Control Committees}*

1. Name and address of the dealers with telephone and fax numbers.....

2. TIN/VAT number\*.....

\*If applicable (as per current State Sale Tax Rules, Mandatory TIN/VAT Number is required only if the annual turnover of the dealer is more than the prescribed value)

Place.....

Signature of the authorised person.]

Date.....

## FORM V

[See rule 7(iv)]

FORM FOR FILING RETURNS OF SALE OF NEW BATTERIES AND  
COLLECTION OF OLD BATTERIES

[To be submitted by dealers to the manufacturers by 31st May (for sale during October-March) and 30th November (for sale during April-September) every year]

1.	Name and address of the dealer	
2.	Name of the authorised person and full address with telephone and fax numbers	
3.	<p>Number of new batteries sold during the period October-March/April-September in respect of the following categories:—</p> <p><i>Category</i></p> <p>(i) Automotive</p> <p style="padding-left: 20px;">(a) Four Wheeler</p> <p style="padding-left: 20px;">(b) Two Wheeler</p> <p>(ii) Industrial</p> <p style="padding-left: 20px;">(a) UPS</p> <p style="padding-left: 20px;">(b) Motive power</p> <p style="padding-left: 20px;">(c) Stand-by</p> <p>(iii) Others</p> <p><i>Number of batteries sold to</i></p> <p style="padding-left: 20px;">(i) as replacement of used batteries</p> <p style="padding-left: 20px;">(ii) bulk consumers</p> <p style="padding-left: 20px;">(iii) to OEM</p> <p style="padding-left: 20px;">(iv) to any other party</p>	(i) No. of Batteries (ii) Approximate weight (in Metric Tonnes)
4.	Total number of used batteries of different categories as at Sl. No. 3 collected and sent to registered recyclers*/designated collection centres/manufacturers	

\* Enclose the list of recyclers to whom batteries have been sent for recycling.

Date.....

Place.....

.....  
Signature of the Authorised Person

## FORM VI

[See rules 8 (I), 9(1) and 9(5)]

**FORM FOR APPLICATION FOR REGISTRATION OF FACILITIES  
POSSESSING ENVIRONMENTALLY SOUND MANAGEMENT PRACTICE  
FOR RECYCLING OF USED LEAD ACID BATTERIES**

(To be submitted in triplicate)

1.	Name and Address of the unit			
2.	Contact person with designation, Tel./Fax			
3.	Date of Commissioning			
4.	No. of Workers (including contract labourers)			
5.	Consent Validity	(a) Under Air Act, 1981; Valid up to— (b) Under Water Act, 1974; Valid up to—		
6.	Validity of Authorisation under rule 5 of the Hazardous Wastes (Management and Handling) Rules, 1989	Valid up to—		
7.	Installed capacity of production in (MTA)			
8.	Products manufactured (Tonnes/year) during the last three years Name : (a) (b) (c)	Year-1	Year-2	Year-3
9.	Raw material consumed (Tones/year) Name : (a) (b) (c)	Year-1	Year-2	Year-3
10.	Manufacturing Process	Please attach manufacturing process flow diagram for each product(s)		
11.	Water Consumption	Industrial - m <sup>3</sup> /day Domestic - m <sup>3</sup> /day		
12.	Water Cess paid up to			
13.	Waste water generation (a) as per consent m <sup>3</sup> /day (b) actual m <sup>3</sup> /day (average of last three months)	Industrial— Domestic—		
14.	Waste water treatment (please provide flow diagram of the treatment scheme)	Industrial— Domestic—		

15.	Waste water discharge	Quantity m <sup>3</sup> /day— Location— Analysis of treated waste water— PH, BOD,COD, SS, O&G, Any other (indicate the corresponding standards applicable)
16.	<p>Air Pollution Control</p> <p>(a) Please provide flow diagram for emission control system(s) installed for each process unit, utilities, etc.</p> <p>(b) Details for facilities provided for control of fugitive emission due to material handling, process, utilities, etc.</p> <p>(c) Fuel consumption</p> <p>(d) Stack emission monitoring results <i>vis-a-vis</i> the standards applicable</p> <p>(e) Ambient air quality</p>	<p>S.No. Name of fuel      Quantity / day</p> <p>S.No. Stack attached to      Emission g/Nm<sup>3</sup></p> <p>S.No. Location                      Results ug/m<sup>3</sup></p>
17.	<p>Hazardous Waste Management</p> <p>(a) Waste generation</p> <p>(b) Details of collection, treatment</p> <p>(c) Disposal (including point of final discharge)</p> <p>(i) Please provide details of the disposal facility</p> <p>(ii) Whether facilities provided are in compliance of the conditions issued by the SPCB in Authorisation</p> <p>(iii) Please attach analysis report of characterisation of hazardous waste generated (including leachate test if applicable)</p>	<p>S.No. Name of Process      Quantity/day the Waste      category</p>
18.	Details of waste proposed to be taken in auction or import, as the case may be, for use as raw material	<p>1. Name</p> <p>2. Quantity required/—</p> <p>3. Position in List A/List B as per Basel Convention (BC)—</p>
19.	Occupational safety and health aspects	<p>4. Nature as per Annexure III of BC— Please provide details of facilities provided.</p>

<p>20. Remarks</p> <p>(i) Whether industry has provided adequate pollution control system / equipment to meet the standards of emission/effluent.</p> <p>(ii) Whether industry is in compliance with conditions laid down in the Hazardous Waste Authorisation</p> <p>(iii) Whether Hazardous Waste Collection and Treatment, Storage and Disposal Facility (TSDF) are operating satisfactorily.</p> <p>(iv) Whether conditions exist or likely to exist of the material being handled / processed of posing immediate or delayed adverse impacts on the environment.</p> <p>(v) Whether conditions exist or is likely to exist of the material being handled /processed by any means capable of yielding another material e.g., leachate which may possess ecotoxicity.</p>	<p>Yes/No If Yes, please furnish details</p> <p>Yes / No</p> <p>Yes / No</p> <p>Yes / No</p> <p>Yes / No</p>
<p>21. (i) Cost of the unit</p> <p>(ii) Cost of pollution control equipment including environmental safeguard measures</p> <p>(a) Capital :</p> <p>(b) Recurring :</p>	
<p>22. Any other information :</p> <p>(i)</p> <p>(ii)</p> <p>(iii)</p>	

I hereby declare that the above statements/informations are true and correct to the best of my knowledge and belief.

Date.....

Place.....

Signature  
Name  
Designation

## FORM VII

[See rule 8(iii)]

## FORM FOR FILING RETURNS BY RECYCLERS OF USED BATTERIES

[To be submitted by recyclers by 30th June and 31st December of every year]

1. Name and address of the recycler	
2. Name of the authorised person and full address with telephone and fax number	
3. Installed annual capacity to recycle used battery scrap (in MTA)	
4. Total quantity of used battery scrap purchased from/sent for processing during the period from October - March / April-September	(i) Quantity of used batteries sent by/ purchased from the manufacturers— (ii) Quantity of used batteries purchased from the dealers— (iii) Quantity of used batteries purchased from auctioneers— (iv) Quantity of used batteries obtained from any other source—
5. Quantity of lead recovered from the used battery scrap (in MTA)	
6. Quantity of recycled lead sent back to	(i) the manufacturer of batteries— (ii) other agencies *—

\*Enclose list of other agencies.

Date.....

Signature of the Authorised Person

Place.....

## FORM VIII

[See rule 10 (2)(ii)]

## FORM FOR FILING RETURNS FOR CONSUMERS OF BATTERIES

[To be submitted by the bulk consumer to the State Board by 30th June  
(for the period October-March) and 31st December  
(for the period April-September) every year]

1.	Name and address of the bulk consumer	
2.	Name of the Authorised person and full address with telephone and fax number	
3.	Number of new batteries of different categories purchased from the manufacturer/importer/dealer or any other agency during October-March and April-September	
	<p>Category :</p> <p>(i) Automotive</p> <p>(a) four wheeler</p> <p>(b) two wheeler</p> <p>(ii) Industrial</p> <p>(a) UPS</p> <p>(b) Motive Power</p> <p>(c) Stand-by</p> <p>(iii) Others</p>	(i) No. of Batteries (ii) Approximate weight (in Metric Tonnes)
4.	Number or used batteries of categories mentioned in Sl. No. 3 and Tonnage of scrap sent to manufacturer / dealer / importer / registered recycler / or any other agency* to whom the used batteries scrap was sent	

\*Enclose list of manufacture/dealer/importer/registered recyclers/or any other agency to whom the used batteries scrap was sent.

Date .....

Signature of the Authorised Person

Place .....

## FORM IX

[See rule 11 (ii)]

## FORM FOR FILING RETURNS BY AUCTIONEER OF USED BATTERIES

[To be submitted by the auctioneer to State Board by 30th June and  
31st December of every year]

1. Name and address of the auctioneer	
2. Name of the authorised person and full address with telephone and fax number	
3. Number of used batteries and total Tonnage (of MT) available during the period from October-March and April-September	
4. Sources of the used battery scrap	
5. Number of used batteries and total Tonnage (of MT) auctioned during the period from October-March and April-September	
6. Number of used batteries and total Tonnage of (MT) sent to the registered recyclers *	

\* Enclose a list.

Date .....

Signature of the Auctioneer

Place .....

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